



BILL HASLAM
GOVERNOR

STATE OF TENNESSEE
DEPARTMENT OF EDUCATION
6TH FLOOR, ANDREW JOHNSON TOWER
710 JAMES ROBERTSON PARKWAY
NASHVILLE, TN 37243-0380

KEVIN HUFFMAN
COMMISSIONER

**LEA COMPREHENSIVE APPLICATION FOR
SPECIAL EDUCATION SERVICES**

Tennessee Code Annotated §49-10-302
Public Law 94-142, as amended
Public Law 105-17, as amended
Public Law 108-446

TO BE COMPLETED BY LOCAL SCHOOL SYSTEM

Compliance Assurance and Statistical Information		July 1, 2012 – September 30, 2013	
School System:	Shelby County Schools		
Director of Schools:	Mr. John Aitken		
	Signature	Date	
E-Mail Address:	jaitken@scsk12.org		
Name of Program Contact Person:	Martha Redding		
E-Mail Address:	mredding@scsk12.org		
Address:	2800 Gray's Creek	Telephone No.:	901-321-2713
	Arlington, TN 38002		

TO BE COMPLETED BY THE STATE DEPARTMENT OF EDUCATION

		Permissive Use of Funds Requested for:		
Amount of IDEA, Part B Funds Approved:	\$	A	B	C
Signature (Authorized State Department of Education Official)		Date		

Must be submitted to your IDEA Fiscal Management Consultant no later than June 1, 2012.

**TCA 49-10-302
Section 612 (a) (11)**

**COMPLIANCE ASSURANCE
And
STATISTICAL INFORMATION
For
SCHOOL YEAR 2012-2013**

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NOTE: IF YOU ARE SUBMITTING YOUR FINANCIAL SPREADSHEETS USING THE LOCAL GOVERNMENT SOFTWARE, IN ORDER FOR THE REVIEWER TO DETERMINE THAT FEDERAL FUNDS ARE SUPPLEMENTAL TO THE GENERAL PURPOSE FUNDS, YOU MUST ADD FTEs TO THE JUSTIFICATION PAGES FOR GENERAL PURPOSE, IDEA.

COMPLIANCE ASSURANCES FOR USE OF IDEA FUNDS

The applicant hereby certifies to the Commissioner of Education that the representation made in this application properly reflects the projected pupils, personnel, and expenditures to be incurred in the operation of the special education program for pupils with disabilities conducted within the school system, that the expenditures for services and goods will be made exclusively for the benefit of pupils which meet the eligibility criteria established by the Department of Education, and that personnel assignments and other documentation of expenses will be readily available for audit. All records necessary to ensure the correctness of the information provided by the agency will be kept five years beyond the final reporting date and access to such records will be provided to the State Department of Education.

The Local Education Agency (LEA) hereby assures the State Education Agency (SEA) that the LEA meets each of the following conditions:

1. Special Education and Related Services will be provided in compliance with the established Tennessee Rules, Regulations & Minimum Standards.
2. The LEA, in providing for the education of children with disabilities within its jurisdiction, has in effect policies, procedures, and programs that are consistent with the State policies and procedures established under §612.
 - a. A free appropriate public education (FAPE) is available to all children with disabilities, as defined under §602(3) and who have a current individual education program (IEP), residing in the State between the ages of 3 through 21, inclusive, including children with disabilities who have been suspended or expelled from school. 612(a) (1)
 - b. The LEA has established a goal of providing full educational opportunity to all children with disabilities and a detailed timetable for accomplishing that goal. 612(a) (2)
 - c. All children with disabilities residing in the LEA, including children with disabilities who are homeless children, or are wards of the State, and children with disabilities attending private schools within the LEAs jurisdiction, regardless of the severity of their disabilities, and who are in need of special education and related services, are identified, located and evaluated and a practical method is developed and implemented to determine which children with disabilities are currently receiving needed special education and related services. 612(a) (3)
 - d. An individualized education program (IEP), or an individualized family service plan (IFSP), that meets the requirements of section 636(d), is developed, reviewed and revised for each child with a disability in accordance with section 614(d). 612(a) (4)
 - e. To the maximum extent appropriate, children with disabilities, including children in public or private institutions or other care facilities, are educated with children who are not disabled. Special classes, separate schooling, or other removal of children with disabilities from the regular educational environment occurs only when the nature or severity of the disability of a child is such that education in regular classes with the use of supplementary aids and services cannot be achieved satisfactorily. 612(a) (5) (A)
 - f. Children with disabilities and their parents are afforded the procedural safeguards required by §615. 612(a) (6)
 - g. Children with disabilities are evaluated in accordance with subsections (a) through (c) of § 614. 612(a) (7)
 - h. LEAs will comply with §617(c) relating to the confidentiality of records and information. 612(a) (8)
 - i. Children participating in early intervention programs assisted under Part C, and who will participate in preschool programs will experience a smooth and effective transition consistent with §637(a) (9). LEAs will participate in transition planning conferences arranged by the Lead Agency under §635(a) (10) and an IEP or, if consistent with sections 614(d) (2) (B) and 636(d), and IFSP will be developed and implemented by the third birthday. 612(a) (9)
 - j. Ensure that all requirements under §612(a) (10) regarding Children In Private Schools are being carried out in a manner consistent with the statute.
 - k. Ensure that all requirements under T.C.A. §49-10-107, T.C.A. §49-10-305 and T.C.A. §49-10-701, regarding Contracts for Special Education Services are being carried out in a manner consistent with the statute.
 - l. The LEA shall ensure that all personnel necessary to carry out this part are appropriately and adequately prepared, subject to the requirements of § 612(a) (14) and § 2122 of the Elementary and Secondary Education Act of 1965. 613(a) (3)
 - m. This LEA will either choose to coordinate with the National Instructional Materials Access Center when purchasing print instructional materials in accordance with section 612(a) (23) or will provide instructional materials to blind persons or other persons with print disabilities in a timely manner. 613(a) (6)

LEA: SHELBY COUNTY SCHOOLS

3. The LEA shall provide the SEA with information necessary to enable the SEA to carry out its duties under this part, including, with respect to Sections 612(a) (15) and 612 (a) (16), information relating to the performance of children with disabilities participating in programs carried out under this part. 613(a) (7)
4. The LEA shall make available to parents of children with disabilities and to the general public all documents relating to the eligibility of such agency under this part. 613(a) (8)
5. The LEA shall cooperate under section 1308 of the Elementary and Secondary Education Act of 1965 to ensure the linkage of records pertaining to migratory children with disabilities for the purpose of electronically exchanging, among the States, health and educational information regarding such children. 613(a) (9)
6. Subject to section 613(b) (3), the LEA application submitted to the SDE shall remain in effect until the LEA submits to the SDE such modifications as the LEA determines necessary. 613(b) (2)
7. Ensure that all requirements under §613(a) (5) regarding treatment of Charter Schools and their students are being carried out in a manner consistent with the statute.
8. Children with disabilities served with IDEA funds shall be counted in the same manner as children without disabilities to supplement the academic program funds earned and paid from the Basic Education Program. TRR&MS 0520-1-9-.03 (3) (a)
9. Funds provided under IDEA will be used to pay the excess cost of providing special education and related services to children with disabilities; shall be used to supplement the State, Local and other Federal funds and not to supplant such funds; and shall not be used, except as provided in §613(a) (2) (B)-(C) to reduce the level of expenditures. §613(a) (2) (A) and §612(a) (17), TRR&MS 0520-1-9-03(2) (k) (4)
10. According to Rule 0520-1-9-14(6) (a), procedures for providing an independent education evaluation (IEE) upon parental request have been submitted and approved to the Department of Education. Any future revision to the IEE procedure will be submitted to the Department for approval.
11. According to TCA 9-1-104 (a) & (b) *Maximum class size*, our LEA case load and class size standards have been submitted and approved by the Department. There have been no changes since the original submission. (The State will review LEA caseload and class size standards for compliance.) Any future LEA updates or revisions will be submitted to the Division of Special Education for approval and for reference purposes.
12. Children with disabilities served with IDEA funds have at least the same average amount spent on them, from sources other than Part B, as do the children in the school system taken as a whole. TRR&MS 0520-1-9-.03 (3) (a) (b)
13. Eligibility as a child with a disability pursuant to the IDEA and state disability eligibility standards will be established prior to expenditure of IDEA Part B funds for implementation of school nurse or school health services pursuant to 34 C.F.R. §300.34(c)(13).
14. Children with healthcare needs or medical conditions of a short duration, temporary nature, or medical conditions that require infrequent school nurse or school health services, and whose educational performance is not adversely affected by such healthcare needs or medical conditions, will not be certified as eligible pursuant to IDEA and state disability eligibility standards for the purpose of accessing IDEA Part B funds to provide school nurse or school health services for such children.
15. Prior to including school nurse or school health services in an Individualized Education Program (IEP), an IEP Team will determine that the child needs school nurse or school health services to benefit from his or her education and thereby receive FAPE. In making this determination, the IEP Team will consider the child's educational progress.
16. School nurse or school health services will not be included as related services in instances where an IDEA eligible child's healthcare needs or medical condition do not affect his or her educational performance or the receipt of FAPE.

Signature of Director of Schools

Date

INSTRUCTION TO OPEN FINANCIAL INFORMATION PAGES

1. Double click inside the Financial Page and it will turn into an Excel worksheet.
2. Enter information and formulas will calculate totals.
3. **Before closing the Excel worksheet, hold down the Ctrl key and press the Home key; otherwise worksheets may not print out properly.**
4. Click outside the worksheet and the Excel worksheet will return to the Word document.
5. **Save** the document to your hard drive; otherwise, it will not save entries since it is a READ ONLY document.

Note:

You may have to use the scroll bar in Word. If you do, scroll slowly.
Ignore the Word background while you are in the Excel Worksheet. The page will go back into the original position and print out correctly when you click inside the Word document.

CHILDREN WITH DISABILITIES TO RECEIVE FREE APPROPRIATE PUBLIC EDUCATION SERVICES FROM THE SCHOOL SYSTEM

This table should reflect those children the school system **may** provide services to during the upcoming school year. Report children by the primary disability on Table A. If a child has more than one disability, report him/her under the condition considered to be the major disability. **This is an unduplicated count** so each child should be reported only one time. These numbers are estimates based on current knowledge and data; you may refer to Table 1 of your last End of Year report as a reference for determining #'s when completing these two tables.

INSTRUCTIONS:

1. Within School System - Provide the number by the primary disability of the eligible children by age category who are to receive special education services within the school system.
2. Contractual Arrangements - Provide the number by disability of the eligible children by age category that are to be provided full-time special education services through contractual arrangement with another school system, a private school, or a public agency. **All contracts for full time special education placements must be approved annually by the state Commissioner of Education. No LEA shall expend state or federal funds for contracted placements that have not been approved by the Commissioner.** Attach contracts for the students listed. Any additional contracts for full time placement made during the school year shall be submitted within 30 days of the placement to your Management Consultant. No LEA can contract for services for CWD unless the program meets school approval.
3. Total to Receive Free Appropriate Public Education Services - Total of all students who are to be provided special education services by the school system directly (Column 1) or through contractual arrangement (Column 2) by age category. **This includes students who will turn 22 during the school year.**
4. Number to Receive Special Transportation – Provide a count by age, category and disability of eligible children who are to be provided special transportation. ***If any numbers are reported in this column, transportation expenses must be included in the appropriate budget.***
5. Private School Children Placed by LEA –
 - a. Number Enrolled – Provide the number of eligible children with disabilities who may be enrolled by the LEA in private schools within the boundaries of the school system and may be provided special education services by the school system. Students reported here would also be reported in Columns 1 or 2.
6. Private School Children Placed by Parents – (Services Plan)
7. Number Enrolled – Provide the number of eligible children with disabilities who may be enrolled by parents' choice in private schools within the boundaries of the school system.
8. Number Served – Provide the number of eligible children with disabilities who may be enrolled by parents' choice in private schools within the boundaries of the school system and may be provided special education services by the school system. Students reported here would not be reported in Columns 1, 2 or 3.

Authority: TRR & MS-0520-1-3-.09 (3) (c)

LEA: SHELBY COUNTY SCHOOLS

**A. Children With Disabilities To Receive
Free Appropriate Public Education Services from the School System
Ages 6-21**

DISABILITY	(1) Within School System	Full time placement only (2) Contractual Agreement	(3) Total to Receive FAPE	(4) # Receiving Special Transportation **	(5) # Private School Students Placed by LEA Receiving Services	Placed by Parents	
						(6a) # Private School Students Placed by Parents within system	(6b) # Of Private School Students (6a) Served by LEA This School Year
	6-21	6-21	6-21	6-21	6-21	6-21	6-21
Autism	312	2	314	162		5	5
Blind			0				
Deaf-Blindness			0			1	1
Deafness	2	1	3	2			
Developmental Delay	189		189	81			
Emotional Disturbance	100	13	113	41		1	1
Hearing Impairments	39	7	46	13			
Intellectually Gifted	4018		4018				
Language Impairments	373		373	37		25	5
Intellectual Disability	195		195	116			
Multiple Disabilities	114		114	63			
Orthopedic Impairments	50		50	30		1	1
Other - Functional Delay	37		37				
Other - Health Impairments	694		694	19		3	3
Specific Learning Disabilities	2632		2632	238		5	
Speech Impairment	633		633	4		35	9
Traumatic Brain Injury	9		9	1			
Visual Impairments	24		24	4			
TOTAL	9421	23	9444	811	0	76	25

** If special transportation is provided a transportation budget page must be included – GP and/or federal.

- Unduplicated Count
- EOY Report may be used as a method of projecting numbers.

B. OPTIONS TO BE PROVIDED* AGES 6 -21

OPTION 1	OPTION 2	OPTION 3	OPTION 4	OPTION 5	OPTION 6**	OPTION 7	OPTION 8***	OPTION 9	OPTION 10	*TOTAL
800	700	5300	1289	700	20	450	270	1	49	9579

*Must be equal to or greater than total for 6-21 yr olds in column 3 under A above.

* This is a **unduplicated count** and should reflect both primary and one secondary option of service.

** For each option 6 student there is at least ½ FTE of an education assistant or interpreter position budgeted in general purpose funds.

***Since Option 8 includes 2 related services, students reported in Option 8 should not be reported in another Option.

(It is recommended that the LEA pull Options of Service from a date when the LEA's enrollment was at its peak)

Do not place 0's in columns with no students.

T.C.A.49-10 302 (a) (2) (A) (B)
TRR & MS 0520-1-9-.03 (1) (k) (1)

C. FINANCIAL INFORMATION**1. PERMISSIVE USE OF FUNDS**

AFTER reading the requirements on the corresponding form, you must answer **yes** or **no** to each of the following items (A, B, and C). Any **yes** response requires completion of a corresponding form that follows. If **no** is answered on all three items, continue completing the application and disregard the attached forms for these permissive programs.

 Yes x No **A. Adjustment to Local Effort in Certain Fiscal Years.** IDEA 04 allows an LEA to reduce state and local maintenance of effort by an amount that equals up to 50% of the increase in IDEA funds from the previous year if those funds are used to provide activities authorized under ESEA. If the LEA wishes to reduce MOE, it must use the attached form to calculate the maximum amount available for this purpose (Page 4A) and indicate how these funds will be used (Page 4A(1)).

There are limitations to this adjustment. Any LEA identified as not meeting requirements in the State's APR, is prohibited by law from reducing its maintenance of effort figures.

 Yes x No **B. Permissive Use of IDEA funds for Schoolwide Program.** IDEA allows an LEA to use funds to carry out a schoolwide program under Section 1114 of ESEA. If an applicant utilizes these funds, you will report the designated amount for each school and ensure that excess cost and service requirements are met. *(Use form on Page 4B)*

 Yes x No **C. Permissive Use of IDEA funds for Coordinated Early Intervening Services.** IDEA allows an LEA to use up to 15% of funds, in combination with other amounts (other than education funds), to develop and implement Coordinated Early Intervening Services, which may include interagency financing structures, for students in kindergarten through grade 12 (with particular emphasis on students in kindergarten through grade 3) who have **not been identified** as needing special education or related services but who need additional academic and behavioral **support to succeed in a general education environment**. *(Use form on Page 4C) If the LEA is also electing to reduce local effort.*

NOTE: If an LEA is determined to have significant disproportionality based on race and ethnicity utilizing state criteria, the LEA must utilize Coordinated Early Intervening Services (Section 618 (d) (2) (B)). The amount expended by an LEA under Coordinated Early Intervening Services shall count toward the maximum amount of expenditures such LEAs may reduce in Item "A" *Adjustment to Local Effort* (above).

If an applicant utilizes these funds, the calculations must be shown on the corresponding forms.

C. FINANCIAL INFORMATION

2. Estimated Expenditures
Education for Students with Disabilities

ACCOUNT NO 71200	EXPENDITURES INSTRUCTION (71000) SPECIAL EDUCATION PROGRAM (71200)	GENERAL PURPOSE FUND	*FTE Positions	IDEA PART B	*FTE Positions
116	Teachers	19,019,101.00	345.00		
117	Career Ladder Program	119,000.00	XXXXXXX	XXXXXXX	XXXXXXX
127	Career Ladder Extended Contracts	61,808.00	XXXXXXX	XXXXXXX	XXXXXXX
128	Homebound Teachers	174,126.00	3.00	154,345.00	3.00
162	Clerical Personnel				
163	Educational Assistants	4,255,348.00	185.00	3,839,689.00	190.00
171	Speech Pathologist	2,066,912.00	32.00		
189	Other Salaries & Wages			905,432.00	15.00
195	Certified Substitute Teachers	100,000.00		10,000.00	
198	Non-Certified Substitute Teachers	130,000.00		10,000.00	
201	Social Security	1,607,430.00	XXXXXXX	305,006.89	XXXXXXX
204	State Retirement	2,237,168.00	XXXXXXX	433,034.51	XXXXXXX
206	Life Insurance	130,148.00	XXXXXXX	25,771.19	XXXXXXX
207	Medical Insurance	2,320,856.00	XXXXXXX	825,000.00	XXXXXXX
208	Dental Insurance		XXXXXXX		XXXXXXX
210	Unemployment Compensation		XXXXXXX		XXXXXXX
212	Employer Medicare	375,931.00	XXXXXXX	71,332.26	XXXXXXX
299	Other Fringe Benefits		XXXXXXX		XXXXXXX
310	Contracts With Other Public Agencies		XXXXXXX		XXXXXXX
311	Contracts With Other School Systems	265,000.00	XXXXXXX		XXXXXXX
312	Contracts With Private Agencies	750,000.00	XXXXXXX		XXXXXXX
322	Evaluation & Testing		XXXXXXX		XXXXXXX
330	Operating Lease Payments		XXXXXXX		XXXXXXX
336	Maintenance And Repair Services - Equipment	38,500.00	XXXXXXX		XXXXXXX
356	Tuition		XXXXXXX		XXXXXXX
369	Contracts for Substitute Teachers - Certified		XXXXXXX		XXXXXXX
370	Contracts for Substitute Teachers - Non-Certified		XXXXXXX		XXXXXXX
399	Other Contracted Services	300,000.00	XXXXXXX	25,000.00	XXXXXXX
429	Instructional Supplies & Materials	469,400.00	XXXXXXX		XXXXXXX
449	Textbooks	200,000.00	XXXXXXX		XXXXXXX
499	Other Supplies & Materials	2,000.00	XXXXXXX	1,002.13	XXXXXXX
535	Fee Waivers		XXXXXXX		XXXXXXX
599	Other Charges		XXXXXXX		XXXXXXX
725	Special Education Equipment	135,000.00	XXXXXXX		XXXXXXX
71200	TOTAL EXPENDITURES	34,757,728.00	XXXXXXX	6,605,612.98	XXXXXXX

Special Education Expenses Only

*FTE Positions: Full time equivalency – **use two decimals.**Line item substitutions or additions shall **NOT** be made.

All personnel must be verified with budget allocation. Do not put numbers in XXX blocks

Expenditures for gifted and functionally delayed students cannot be paid from federal funds.

TRR&MS 0520-1-9-.03 (2) (k) (7)

0520-1-9-.03 (1) (k) (2)

EDGAR Sect 80.20 (a) (2)

(Subpart C (b) (4))

C. FINANCIAL INFORMATION (Continued)**2. Estimated Expenditures
Education for Students with Disabilities**

ACCOUNT NO.	EXPENDITURES	GENERAL PURPOSE FUND	*FTE Positions	IDEA PART B	*FTE Positions
72120	SUPPORT SERVICES (72000) STUDENTS (72100)				
	HEALTH SERVICES (72120)				
131	Medical Personnel			571,200.00	15.00
189	Other Salaries & Wages				
201	Social Security		XXXXXXX	35,414.40	XXXXXXX
204	State Retirement		XXXXXXX	50,151.36	XXXXXXX
206	Life Insurance		XXXXXXX	2,673.22	XXXXXXX
207	Medical Insurance		XXXXXXX	82,500.00	XXXXXXX
208	Dental Insurance		XXXXXXX		XXXXXXX
210	Unemployment Compensation		XXXXXXX		XXXXXXX
212	Employer Medicare		XXXXXXX	8,282.40	XXXXXXX
299	Other Fringe Benefits		XXXXXXX		XXXXXXX
307	Communication		XXXXXXX		XXXXXXX
330	Operating Lease Payments		XXXXXXX		XXXXXXX
336	Maintenance & Repair Services-Equipment		XXXXXXX		XXXXXXX
348	Postal Charges		XXXXXXX		XXXXXXX
355	Travel		XXXXXXX		XXXXXXX
399	Other Contracted Services		XXXXXXX		XXXXXXX
413	Drugs & Medical Supplies		XXXXXXX		XXXXXXX
499	Other Supplies & Materials		XXXXXXX	4,000.00	XXXXXXX
524	In-Service/Staff Development		XXXXXXX		XXXXXXX
599	Other Charges		XXXXXXX		XXXXXXX
735	Health Equipment		XXXXXXX		XXXXXXX
72120	TOTAL EXPENDITURES	0.00	XXXXXXX	754,221.38	XXXXXXX

Special Education Expenses Only

*FTE Positions: Full time equivalency – **use two decimals.**Line item substitutions or additions shall NOT be made.

All personnel must be verified with budget allocation. Do not put numbers in XXX blocks.

Expenditures for gifted and functionally delayed students cannot be paid from federal funds.

C. FINANCIAL INFORMATION (Continued)

2. Estimated Expenditures
Education for Students with Disabilities

ACCOUNT NO.	EXPENDITURES	GENERAL PURPOSE FUND	*FTE Positions	IDEA PART B	*FTE Positions
72220	SUPPORT SERVICES (72000) INSTRUCTIONAL STAFF (72200) SPECIAL EDUCATION PROGRAM STAFF (72220)				
105	Supervisor/Director	873,804.00	9.00	169,353.00	2.00
117	Career Ladder Program	4,000.00	XXXXXXXX	XXXXXXXX	XXXXXXXX
124	Psychological Personnel	1,229,003.00	16.00	142,400.00	2.00
127	Career Ladder Extended Contracts		XXXXXXXX	XXXXXXXX	XXXXXXXX
135	Assessment Personnel				
161	Secretary(s)	275,896.00	7.00		
162	Clerical Personnel	1,529,412.00	52.00		
171	Speech Pathologist (Supervisory)				
189	Other Salaries & Wages	3,580,760.00	94.00	50,233.00	1.00
196	In-Service Training	9,645.00	XXXXXXXX	20,000.00	XXXXXXXX
201	Social Security	465,156.00	XXXXXXXX	23,683.13	XXXXXXXX
204	State Retirement	609,568.00	XXXXXXXX	34,434.10	XXXXXXXX
206	Life Insurance	35,479.00	XXXXXXXX	1,904.05	XXXXXXXX
207	Medical Insurance	797,654.00	XXXXXXXX	27,500.00	XXXXXXXX
208	Dental Insurance		XXXXXXXX		XXXXXXXX
210	Unemployment Compensation		XXXXXXXX		XXXXXXXX
212	Employer Medicare	108,787.00	XXXXXXXX	5,538.80	XXXXXXXX
299	Other Fringe Benefits		XXXXXXXX		XXXXXXXX
307	Communication		XXXXXXXX		XXXXXXXX
308	Consultants	12,000.00	XXXXXXXX	5,000.00	XXXXXXXX
330	Operating Lease Payments		XXXXXXXX		XXXXXXXX
336	Maintenance & Repair Services -Equipment		XXXXXXXX		XXXXXXXX
348	Postal Charges		XXXXXXXX		XXXXXXXX
355	Travel	85,000.00	XXXXXXXX		XXXXXXXX
399	Other Contracted Services	250,000.00	XXXXXXXX	25,000.00	XXXXXXXX
499	Other Supplies & Materials	100,000.00	XXXXXXXX	5,000.00	XXXXXXXX
524	In-Service/Staff Development	100,000.00	XXXXXXXX	10,000.00	XXXXXXXX
599	Other Charges	2,550.00	XXXXXXXX		XXXXXXXX
790	Other Equipment		XXXXXXXX		XXXXXXXX
72220	TOTAL EXPENDITURES	10,068,714.00	XXXXXXXX	520,046.08	XXXXXXXX

Special Education Expenses Only

*FTE Positions: Full time equivalency – use two decimals.

Line item substitutions or additions shall NOT be made.

All personnel must be verified with budget allocation. Do not put numbers in XXX blocks.

Expenditures for gifted and functionally delayed students cannot be paid from federal funds.

C. FINANCIAL INFORMATION (continued)

2. Estimated Expenditures for Education for Students with Disabilities

ACCOUNT NO.	EXPENDITURES	GENERAL PURPOSE FUND	*FTE Positions	IDEA PART B	*FTE Positions
72710	SUPPORT SERVICES (72000) STUDENT TRANSPORTATION (72700)				
	TRANSPORTATION (72710)				
105	Supervisor/Director				
142	Mechanic(s)				
146	Bus Drivers			836,347.00	46.00
162	Clerical Personnel				
189	Other Salaries & Wages				
196	In-Service Training				
201	Social Security		XXXXXXXX	51,853.51	XXXXXXXX
204	State Retirement		XXXXXXXX	73,431.27	XXXXXXXX
206	Life Insurance		XXXXXXXX	4,399.19	XXXXXXXX
207	Medical Insurance		XXXXXXXX	192,498.00	XXXXXXXX
208	Dental Insurance		XXXXXXXX		XXXXXXXX
210	Unemployment Compensation		XXXXXXXX		XXXXXXXX
212	Employer Medicare		XXXXXXXX	12,127.03	XXXXXXXX
299	Other Fringe Benefits		XXXXXXXX		XXXXXXXX
307	Communication		XXXXXXXX		XXXXXXXX
311	Contracts with Other School Systems		XXXXXXXX		XXXXXXXX
312	Contracts with Private Agencies		XXXXXXXX		XXXXXXXX
313	Contracts with Parents		XXXXXXXX	4,000.00	XXXXXXXX
314	Contracts with Public Carriers		XXXXXXXX		XXXXXXXX
315	Contracts with Vehicle Owners		XXXXXXXX		XXXXXXXX
329	Laundry Service		XXXXXXXX		XXXXXXXX
330	Operating Lease Payments		XXXXXXXX		XXXXXXXX
338	Maintenance & Repair Service -Vehicles		XXXXXXXX		XXXXXXXX
340	Medical & Dental Services		XXXXXXXX		XXXXXXXX
348	Postal Charges		XXXXXXXX		XXXXXXXX
351	Rentals		XXXXXXXX		XXXXXXXX
355	Travel		XXXXXXXX		XXXXXXXX
399	Other Contracted Services		XXXXXXXX		XXXXXXXX
412	Diesel Fuel		XXXXXXXX	109,816.00	XXXXXXXX
418	Equipment & Machinery Parts		XXXXXXXX		XXXXXXXX
424	Garage Supplies		XXXXXXXX		XXXXXXXX
425	Gasoline		XXXXXXXX		XXXXXXXX
433	Lubricants		XXXXXXXX		XXXXXXXX
450	Tires & Tubes		XXXXXXXX		XXXXXXXX
453	Vehicle Parts		XXXXXXXX		XXXXXXXX
499	Other Supplies & Materials		XXXXXXXX	21,502.00	XXXXXXXX
511	Vehicle & Equipment Insurance		XXXXXXXX		XXXXXXXX
524	In-Service/Staff Development		XXXXXXXX	3,000.00	XXXXXXXX
599	Other Charges		XXXXXXXX		XXXXXXXX
701	Administration Equipment		XXXXXXXX		XXXXXXXX
729	Transportation Equipment		XXXXXXXX		XXXXXXXX
72710	TOTAL EXPENDITURES	0.00	XXXXXXXX	1,308,974.00	XXXXXXXX

Special Education Expenses Only

*FTE Positions: Full time equivalency – use two decimals

Line item substitutions or additions shall NOT be made.

All personnel must be verified with budget allocation. Do not put numbers in XXX blocks.

Expenditures for gifted and functionally delayed students cannot be paid from federal funds.

C. FINANCIAL INFORMATION (continued)**3. Estimated Expenditure Summary
Education for Students with Disabilities**

ACCOUNT SERIES	EXPENDITURES	GENERAL PURPOSE FUND	IDEA
71150	Alternative Schools		
71200	Special Education Program	34,757,728.00	6,605,612.98
72120	Health Services		754,221.38
72130	Other Student Support		
72215	Alternative Instruction Program		
72220	Special Education Program Staff	10,068,714.00	520,046.08
72410	Office of Principal		
72710	Transportation		1,308,974.00
99100-504	Transfer Out of Funds: Indirect Cost *	XXXXXXXX	444,740.56
99100-504	Permissive Use of Funds:	XXXXXXXX	XXXXXXXX
	A. Adjustment to Local Effort		XXXXXXXX
	B. School Wide	XXXXXXXX	
	C. Early Intervening Services	XXXXXXXX	
TOTAL EXPENDITURES		44,826,442.00	9,633,595.00

*Indirect Cost Rate: 4.84% (List indirect cost rate approved for **FY '13**)

**Note: Subtract out all expenditures for equipment prior to determining indirect cost amounts.
Do Not include any "Permissive Use of Funds" expenditures when determining indirect cost amounts.**

GENERAL PURPOSE FUND TOTAL SHALL MATCH TOTAL ON PAGE 16D

C. FINANCIAL INFORMATION

5. Estimated Expenditure Justification Page
IDEA, PART B

LEA: SHELBY COUNTY SCHOOLS

Special Education Instruction Program

	Acct. No. 71200	Description	Justification	
	116	Teachers	To pay for teachers working with SE students ages 3 - 21	
x	128	Homebound Teachers	To pay for homebound teachers working w/ SE students ages 3 - 21	
	162	Clerical Personnel	To pay for SE clerical personnel	
x	163	Educational Assistants	To pay for SE educational assistants working w/ SE students ages 3 - 21	
	171	Speech Pathologist	To pay for SE speech pathologist working w/ SE students ages 3 - 21	
x	189	Other Salaries & Wages (Specify)	To pay for Consulting Teachers to support SE teachers and students in preschool and classrooms for students ages 3 – 21 – Elementary Middle/High School Transition; Reading; Math; Assistive Tech; Behavior; Communication	
x	195	Certified Substitute Teachers	Certified Substitutes for SE teachers working with students ages 3 - 21	
x	198	Non-Certified Substitute Teachers	Non-Certified Substitutes for SE teachers working with students ages 3 - 21	
x	201	Social Security	Fringe benefit for above salaries	
x	204	State Retirement	Fringe benefit for above salaries	
x	206	Life Insurance	Fringe benefit for above salaries	
x	207	Medical Insurance	Fringe benefit for above salaries	
	208	Dental Insurance	Fringe benefit for above salaries	
	210	Unemployment compensation	Fringe benefit for above salaries	
x	212	Employer Medicare	Fringe benefit for above salaries	
	299	Other Fringe Benefits (Specify)		
	310	Contracts with other Public Agencies	Contract with:	For:
	311	Contracts with other School Systems	Contract with:	For:
	312	Contracts with Private Agencies	Contract with:	For:
	322	Evaluation & Testing	To evaluate and test S. E. students	
	330	Operating Lease Payments (Specify)		
	336	Maintenance & Repair Services-Equipment	To maintain and repair SE equipment	
	356	Tuition	To pay tuition for SE students unable to afford it	
	369	Contracts for Substitute Teachers - Certified	To pay actual contracts for certified SE substitute teachers	
	370	Contracts for Substitute Teachers – Non-Certified	To pay actual contracts for non-certified SE substitute teachers	
x	399	Other Contracted Services (Specify)	To pay for supplemental speech, vision, hearing, ABA, Behavioral, reading, math instructional services for SE students ages 3 - 21	
	429	Instructional Supplies & Materials (Specify)		
	449	Textbooks (Specify)		
x	499	Other Supplies & Materials (Specify)	To purchase supplemental math, reading, ESY; assistive tech supplies & materials; paper/ink; computer supplies for use in classroom for instruction of SE students ages 3 - 21	
	535	Fee Waivers	To pay fees for SE students that cannot afford them	
	599	Other Charges (Specify)		
	725	Special Education Equipment * (Specify)		

Note for EAs: If hiring EAs/LPNs or EAs/Bus Attendants must indicate such with justification

C. FINANCIAL INFORMATION
5. Estimated Expenditure Justification Page
IDEA, PART B

LEA: SHELBY COUNTY SCHOOLS

Students Support Services/Health Services

	Acct. No 72120	Description	Justification
x	131	Medical Personnel(Specify)	To pay for full time/part time nurses to provide medical services for SE students, ages 3 – 21, at school and on school buses in order to access Educational Program according to IEP's.
	189	Other Salaries & Wages (Specify)	
x	201	Social Security	Fringe benefit for salaries funded above
x	204	State Retirement	Fringe benefit for salaries funded above
x	206	Life Insurance	Fringe benefit for salaries funded above
x	207	Medical Insurance	Fringe benefit for salaries funded above
	208	Dental Insurance	Fringe benefit for salaries funded above
	210	Unemployment Compensation	Fringe benefit for salaries funded above
x	212	Employer Medicare	Fringe benefit for salaries funded above
	299	Other Fringe Benefits (Specify)	
	307	Communication	SE communication costs
	330	Operating Lease Payments (Specify)	
	336	Maintenance & Repair Services-Equipment	To maintain and repair SE health related equipment
	348	Postal Charges	To pay for postal charges related to SE communication
	355	Travel	Travel by SE medical employees to treat SE students
	399	Other Contracted Services (Specify)	
	413	Drugs & Medical Supplies(Specify)	
x	499	Other Supplies & Materials (Specify)	To pay for medical supplies, technology related to monitoring and documentation of student services for SE students, emergency supplies, and other materials needed according to Health Care Plans for SE students (ex. thermometers, trachs, feeding tubes, oxometers and other monitoring equipment and supplies for medical procedures for SE students ages 3 - 21
	524	In-Service/Staff Development(Specify)	
	599	Other Charges (Specify)	
	735	Health Equipment (Specify)	

Note for EAs: If hiring EAs/LPNs or EAs/Bus Attendants must indicate such with justification

C. FINANCIAL INFORMATION
5. Estimated Expenditure Justification Page
IDEA, PART B

LEA: SHELBY COUNTY SCHOOLS

Support Services/Special Education Program

	Acct. No. 72220	Description	Justification	
x	105	Supervisor/Director	Pay salary for SE Supervisor/Director of SE Department for students ages 3 - 21	
x	124	Psychological Personnel	To pay salary of SE psychologist working with students ages 3 - 21	
	135	Assessment Personnel	To pay salary of SE assessment personnel	
	161	Secretary(s)	To pay salary of SE secretary	
	162	Clerical Personnel	To pay salary of SE clerks	
	171	Speech Pathologist (Supervisory)	To pay for SE speech pathologist for supervisory services	
x	189	Other Salaries and Wages (Specify)	To pay accountant for management of IDEA funds for use by SE students ages 3 - 21	
x	196	In-Service Training	Pay for training stipends for SE teachers and staff working with students with disabilities; Examples of training include Strategies for Success in Reading & Math; Behavior Management Training; Autism Training; First Aid; CPR; Visual Training; Verbal Training Cues; Common Core Support for SPED students; Math Initiatives for SPED students ages 3 - 21	
x	201	Social Security	Fringe benefit for above personnel	
x	204	State Retirement	Fringe benefitfor above personnel	
x	206	Life Insurance	Fringe benefit for above personnel	
x	207	Medical Insurance	Fringe benefit for above personnel	
	208	Dental Insurance	Fringe benefit for above personnel	
	210	Unemployment Compensation	Fringe benefit for above personnel	
x	212	Employer Medicare	Fringe benefit for above personnel	
	299	Other Fringe Benefits (Specify)		
	307	Communication	SE communication costs	
x	308	Consultants	To pay consultants	For: IEP/Classroom support of SE students, ages 3 – 21, in the areas of reading /math/ autism/behavior/ speech/language/ vision/hearing
	330	Operating Lease Payments (Specify)		
	336	Maintenance & Repair Services-Equipment	To maintain and repair SE equipment	
	348	Postal Charges	To pay for postal charges for SE programs	
	355	Travel	Travel for SE support Staff	For:
x	399	Other Contracted Services (Specify)	To pay for supplemental OT/PT/communication/interpreters, audiologist/ evaluation of SE students, ages 3 - 21	
x	499	Other Supplies & Materials (Specify)	To pay for assessment/evaluation resources/scanners/vision/hearing materials in support of SE student's educational programs for students ages 3 - 21	
x	524	In-Services/Staff Development (Specify)	To pay for professional development of administrators of students with disabilities.Examples include summer training in behavior strategies for autism, emotional disturbance, transition training, reading/math common core for students with disabilities; PECS Training; Compliance/Legal	

			Workshops; ASHA Training; Assistive Technology Training; CPI/PCM Training to comply with Isolation/Restraint Law. This training is for SE students ages 3 – 21.
	599	Other Charges (Specify)	
	790	Other Equipment (Specify)	

C. FINANCIAL INFORMATION
5. Estimated Expenditure Justification Page
IDEA, PART B

LEA: SHELBY COUNTY SCHOOLS

Transportation

	Acct. No. 72710	Description	Justification
	105	Supervisor/Director (Specify)	
	142	Mechanic(s)	
x	146	Bus Drivers	Special Education bus drivers to transport special education students, ages 3 - 21
	162	Clerical Personnel	
	189	Other Salaries & Wages (Specify)	
	196	In-Service Training	In-service training for approved special education personnel above
x	201	Social Security	Fringe benefit for approved personnel above
x	204	State Retirement	Fringe benefit for approved personnel above
x	206	Life Insurance	Fringe benefit for approved personnel above
x	207	Medical Insurance	Fringe benefit for approved personnel above
	208	Dental Insurance	Fringe benefit for approved personnel above
	210	Unemployment Compensation	Fringe benefit for approved personnel above
x	212	Employer Medicare	Fringe benefit for approved personnel above
	299	Other Fringe Benefits (Specify)	
	307	Communication	SE communication costs
	311	Contract with Other School Systems (Specify)	
	312	Contracts with Private Agencies (Specify)	
x			Pay for transportation services required and provided by parents of students with disabilities; fulfill contracts for parental visits of students with disabilities placed in residential facilities outside the school system
	313	Contracts with Parents	
	314	Contracts with Public Carriers	
	315	Contracts with Vehicle Owners	
	329	Laundry Service	
	330	Operating Lease Payments	
	338	Maintenance & Repair Service – Vehicles	Maintenance and repair of special education purchased vehicles
	340	Medical and Dental Services	
	348	Postal Charges	To pay postal charges re: communication
	351	Rentals	
	355	Travel	
	399	Other Contracted Services (Specify)	
x	412	Diesel Fuel	To purchase fuel for buses to transport SE students, ages 3 – 21, on SE buses
	418	Equipment and Machinery Parts	For special education purchased vehicles
	424	Garage Supplies	For special education purchased vehicles
	425	Gasoline	For special education purchased vehicles
	433	Lubricants	For special education purchased vehicles
	450	Tires and Tubes	For special education purchased vehicles
	453	Vehicle Parts	For special education purchased vehicles
x	499	Other Supplies & Materials (Specify)	To pay for car seats, seat belts, first aid kits, training materials for bus drivers, etc. for SE students, ages 3 - 21
	511	Vehicle & Equipment Insurance	To pay insurance for vehicles and equipment used to transport SE students
x	524	In-Service/Staff Development (Specify)	To pay for professional development of SE personnel, bus drivers, and bus assistants – ex. conferences and workshops on bus safety, crisis management, first aid, CPR, and other topics related to transportation needs of students with disabilities ages 3 - 21
	599	Other Charges (Specify)	

	701	Administration Equipment	
	729	Transportation Equipment	

LEA: SHELBY COUNTY SCHOOLS

C. FINANCIAL INFORMATION (continued)
6. General Purpose Funds Only
Non-Supplanting/Maintenance of Effort
State/Local Funds

a. Expenditures for 2009-2010

1. Actual amount expended for students with disabilities served (State Sp Ed Expenditure Report 2009-2010)	<u>\$42,059,939.29</u>
2. Total unduplicated count of disabled students served by the school system (End of Year Report 2009-2010)	<u>9845</u>
3. Per pupil expenditures 2009-2010 Divide a (1) by a (2)	<u>\$ 4,272.21</u>

b. Expenditures for 2010-2011

1. Actual amount expended for students with disabilities served (State Sp Ed Expenditure Report, 2010 - 2011)	<u>\$42,457,040.83</u>
2. Total unduplicated count of disabled students served by the school system (End of Year Report 2010-2011)	<u>9931</u>
3. Per pupil expenditures 2010-2011 Divide b (1) by b (2)	<u>\$ 4,275.20</u>

c. Expenditures for 2011-2012 *

1. Actual or projected (Circle one) amount expended for students with disabilities served (State Sp Ed Expenditure Report, 2011- 2012)	<u>\$ 43,572,720.00</u>
2. Total unduplicated count of disabled students served by the school system (End of Year Report, 2011-2012)	<u>10,025</u>
3. Per pupil actual or projected expenditures 2011-2012 Divide c (1) by c (2)	<u>\$4,346.41</u>
4. System's total net enrollment (All Students)	<u>45,445</u>
Percentage of S.E. Students (Divide c.2 by c.4)	<u>22%</u>

<i>DOE USE ONLY</i>
\$
\$

d. Projected Expenditures for 2012-2013

(Page 14, Total Expenditures General Purpose Funds) \$44,826,442.00

*** NOTE: If c.1. and c.3 are less than b.1 and b.3. , a maintenance of effort problem has occurred. Please submit documentation that justifies this reduction in expenditures. Acceptable reasons to reduce MOE are provided at 34 CFR Part. 300. 204 *Exception to maintenance of effort*. Funding will be held until this is corrected or justified. If d. is less than c.1., a potential maintenance of effort problem may occur. Work closely with your finance office/management consultant during this school year.**

